

16310 U.S. PTO



110403

Page 1

NEW UNITED STATES UTILITY PATENT APPLICATION
under 37 C.F.R. 1.53(b)

Atty. Docket No. 03-03

CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. § 1.10: The undersigned hereby certifies that this United States Patent Application and all papers noted herein as being attached, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 C.F.R. § 1.10 today, **November 4, 2003**, and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail Label No.: ET123843461US



Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Enclosed herewith is a new patent application and the following papers:

First Named Inventor (or application identifier): Richard Fastow

Title of Invention: Minimization of FG-FG Coupling in Flash Memory

1. ☒ Specification 27 pages (including specification, claims, abstract) / 18 claims (2 independent)
2. ☒ Declaration/Power of Attorney is:
☒ attached in the regular manner.
☐ NOT included, but deferred under 37 C.F.R. § 1.53(f).
3. ☒ 8 Distinct sheets of ☒ Formal ☐ Informal Drawings
4. ☐ Preliminary Amendment.
5. ☐ Information Disclosure Statement
☐ Form 1449
☐ A copy of each cited prior art reference
6. ☒ Assignment with Cover Sheet.
7. ☐ Priority is hereby claimed under 35 U.S.C. § 119 based upon the following application(s):

| Country | Application Number | Date of Filing (day, month, year) |
|---------|--------------------|-----------------------------------|
| | | |

8. ☐ Priority document(s).

NEW UNITED STATES UTILITY PATENT APPLICATION
under 37 C.F.R. 1.53(b)

Page 2

Atty. D cket No. 03-03

9. ☐ Statement Claiming Small Entity Status.
10. ☐ Microfiche Computer Program (Appendix).
11. ☐ Nucleotide and/or Amino Acid Sequence Submission.
☐ Computer Readable Copy.
☐ Paper Copy (identical to computer copy).
☐ Statement verifying identity of above copies.

12. Calculation of Fees:

| FEES FOR | EXCESS CLAIMS | FEE | AMOUNT DUE |
|--|---------------|--------|-----------------|
| Basic Filing Fee (37 C.F.R. § 1.16(a)) | | | \$770.00 |
| Total Claims in Excess of 20 (37 C.F.R. § 1.16(c)) | 0 | 18.00 | \$0.00 |
| Independent Claims in Excess of 3 (37 C.F.R. § 1.16(b)) | 1 | 86.00 | \$0.00 |
| Multiple Dependent Claims (37 C.F.R. § 1.16(d)) | 0 | 290.00 | \$0.00 |
| Subtotal - Filing Fee Due | | | \$770.00 |
| | MULTIPLY BY | | |
| Reduction by 50%, if Small Entity (37 C.F.R. §§ 1.9, 1.27, 1.28) | 0 | | \$0.00 |
| TOTAL FILING FEE DUE | | | \$770.00 |
| Assignment Recordation Fee (if applicable) (37 C.F.R. § 1.21(h)) | 1 | 40.00 | \$40.00 |
| GRAND TOTAL DUE | | | \$810.00 |

13. PAYMENT is:

- ☒ included in the amount of the GRAND TOTAL by our enclosed check.
- ☐ not included, but deferred under 37 C.F.R. § 1.53(f).

14. All correspondence for the attached application should be directed to:

Law Office of Monica H. Choi
P.O. Box 3424
Dublin, OH 43016-0204
Telephone: (614) 789-0240
Facsimile: (614) 789-0241

corresponding to Customer Number **22443**.

NEW UNITED STATES UTILITY PATENT APPLICATION
under 37 C.F.R. 1.53(b)

Page 3

Atty. Docket No. 03-03

15. Other: Return Receipt Postcards (2); and Non-Publication Request under 35 U.S.C. 122(b)(2)(B)(i)

Date: November 4, 2003

By: Monica H. Choi
Monica H. Choi
Reg. No. 41,671

CERTIFICATE OF MAILING BY "EXPRESS MAIL"
(NEW PATENT APPLICATION)

Express Mail No. ET123843461US

Deposited: November 4, 2003

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR § 1.10 on the date indicated above and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: Monica H. Choi
(person actually depositing)

Patent Application of: Richard Fastow and Sheunghee Park

Title: Minimization of FG-FG Coupling in Flash Memory

- ☒ Patent Application (27 pages, 18 claims, 8 sheets drawing)
- ☒ Postcards
- ☒ Transmittal Letter
- ☒ Check
- ☒ Declaration
- ☒ Assignment with Recordation Form Cover Sheet
- ☒ Other: Non-Publication Request under 35 U.S.C. 122(b)(2)(B)(i)

Attorney Docket No.: 03-03

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**NONPUBLICATION REQUEST
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor

Richard Fastow

Title

Minimization of FG-FG Coupling in Flash Memory

Attorney Docket Number

03-03

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

November 4, 2003

Date



Signature

614-789-0240

Telephone number

Monica H. Choi

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.